

FATCA/ CRS Questionnaire

Application No. _____

Part I - *Mandatory Information

Details of Policy Owner Person Insured

Policy Owner (PO)	Person Insured (PI)																
*First Name:	*First Name:																
*Middle Name:	*Middle Name:																
*Last Name:	*Last Name:																
*Date of Birth: <table border="1" style="display: inline-table; border-collapse: collapse;"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y	*Date of Birth: <table border="1" style="display: inline-table; border-collapse: collapse;"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y										
D	D	M	M	Y	Y	Y	Y										
*Country of Birth:	*Country of Birth:																
*PAN No:	*PAN No:																
*Citizenship:	*Citizenship:																
*Country of Residence:	*Country of Residence:																
*Telephone outside India:	*Telephone outside India:																
*US Person: <input type="checkbox"/> Yes <input type="checkbox"/> No	*US Person: <input type="checkbox"/> Yes <input type="checkbox"/> No																

Part II - Tax payer in the country other than India

Are you a Tax payer in the country other than India? Yes No

If Yes, please fill the table below. If No, please proceed to Part III

S.no	Tax Identification number or functional equivalent	Identification type (TIN or other, please specify)	TIN issuing country	If TIN not available, please write the reason code A,B,C,D, E or F as per description mentioned below	If your country of birth is outside India, please provide and mention document evidencing relinquishment of citizenship
1					
2					
3					

- A. Country does not issue TIN, mention VISA/ Residence/ Work permit number
- B. Dependent VISA, mention VISA number
- C. Student VISA, mention VISA number
- D. Seafarer Status, mention Continuous Discharge Certificate/ VISA number
- E. Going to the country of residence for the first time, mention VISA number (TIN/ Functional Equivalent to be communicated within 90 days, else account will be blocked)
- F. My country of birth is outside India, hence providing document evidencing Relinquishment of citizenship or reason for not providing Relinquishment documents

Part III

I confirm that I am neither an US citizen nor a resident for Tax purpose in any country other than India, though one or more parameters suggest my relation with the country outside India. Therefore, I am providing the following document as proof of my citizenship and residency in India. Also, I agree to provide details in case. If I am/we are subject to tax reporting requirements in any country other than India or if, at any time, I/we become subject to tax reporting requirements in any country other than India, I/we understand that PNB MetLife India Insurance Co Ltd., may be required to share information about my/our PNB MetLife India Insurance Co. Ltd, Policy with the relevant Indian tax authorities who may share such information with the relevant overseas competent authority.

Document Proof submitted (Please tick document being submitted)

Passport Election Id Card PAN Card Driving License UIDAI Letter NREGA Job Card Govt Issued ID Card (Please Specify) _____

Under penalty of perjury, I/we certify that:

- The applicant is (i) an applicant taxable as a US person under the laws of the United States of America ("U.S.") or any state or political sub division thereof or therein, including the District of Columbia or any other states of the U.S., (ii) an estate the income of which is subject to U.S. federal income tax regardless of the source thereof. (This clause is applicable only if the account holder is identified as a US person);
- The applicant is an applicant taxable as a tax resident under the laws of country outside India. (This clause is applicable only if the account holder is a tax resident outside of India)

ACKNOWLEDGEMENT-SLIP

Received FATCA/CRS format from Mr./Mrs./Ms. _____ against Policy No _____

On _____ at _____ am/pm

Received By: Employee Code _____ Employee Name _____

Branch Stamp

- (i) I/We understand that PNB MetLife is relying on this information for the purpose of determining the status of the applicant named above in compliance with FATCA/CRS. PNB MetLife is not able to offer any tax advice on CRS or FATCA or its impact on the applicant. I/we shall seek advice from professional tax advisor for any tax questions.
- (ii) I/We agree to submit a new form within 30 days if any information or certification on this form becomes incorrect.
- (iii) I/We agree that as may be required by domestic regulators/tax authorities PNB MetLife may also be required to report, reportable details to CBDT or close or suspend my account.
- (iv) I/We certify that I/we provide the information on this form and to the best of my/our knowledge and belief the certification is true, correct, and complete including the taxpayer identification number of the applicant.
- (v) I/We certify that the information provided in this form is true, correct and complete including the tax payer identification number of the applicant.
- If I am/we are subject to tax reporting requirements in any country other than India or if, at any time, I/we become subject to tax reporting requirements in any country other than India, I/we understand that PNB MetLife India Insurance Co Ltd., may be required to share information about my/our PNB MetLife India insurance Co. Ltd, Policy with the relevant Indian tax authorities who may share such information with the relevant overseas competent authority.

Name & Signature of PI

Name & Signature of PO

Place

Date:

D	D	M	M	Y	Y	Y	Y
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FATCA-CRS Terms & Conditions

(Note: The Guidance Note/notification issued by the CBDT shall prevail in respect to interpretation of the terms specified in the form)

Details under FATCA & CRS: The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities I appointed agencies.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

Please note that you may receive more than one request for information if you have multiple relationships with (Insert FI's name) or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

FATCA & CRS Instructions

If you have any questions about your tax residency, please contact your tax advisor. If you are a US citizen or resident or green card holder, please include United States in the foreign country information field along with your US Tax Identification Number.

It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

In case customer has the following indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, customer to provide relevant Curing Documents as mentioned below:

FATCA & CRS Indicia observed (ticked)	Documents required for Cure of FATCA/CRS Indicia
U.S. place of birth:	<ol style="list-style-type: none"> Self - certification that the account holder is neither a citizen of United States of America nor its resident for tax purposes; Non- US passport or any non - US government issued document evidencing nationality or citizenship (refer list below); AND Any one of the following documents: Certified copy of certificate of Loss of Nationality or Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship; or Reason the customer did not obtain U.S. citizenship at birth
Residence/ mailing address in a country other than India:	<ol style="list-style-type: none"> Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and Documentary evidence (refer list below)
Telephone number in a country other than India:	<p>If no Indian telephone number is provided</p> <ol style="list-style-type: none"> Self- certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and Documentary evidence (refer list below) <p>If Indian telephone number is provided along with a foreign country telephone number</p> <ol style="list-style-type: none"> Self - certification that the account holder is neither a citizen of United States of America nor a tax resident for tax purposes of any country other than India; OR Documentary evidence (refer list below)

List of acceptable documentary evidence needed to establish the residence(s) for tax purposes:

- Certificate of residence issued by an authorized government body*
- Valid identification issued by an authorized government body* (e.g. Passport, National Identity card, etc.)

*Government or agency thereof or a municipality of the country or territory in which the payee claims to be a resident.

Note: These are selected definition provided to assist you with the completion of this form. Further details can be found within the Income Tax Rules, 1962 and OECD Common Reporting Standard for Automatic Exchange of Financial Account Information (the CRS), the associated Commentary to the CRS, and domestic guidance. This can be found at the following link (OECD)

If you have any questions, then please contact your tax adviser or domestic tax authority.

'US Person' In case of individuals, US Person means a citizen or resident of the United States. Persons who would qualify as US Persons could be Born in the United States, Born outside the United States of a US parent, Naturalized citizens, Green Card Holders, Tax residents. [Please note that above information is provided only for quick reference to customers. Please consult your tax/legal advisor for details]